# Beneficial Ownership Record

1. Complete sub-section 1 (as applicable, according to the type of entity).
2. Complete sub-section 2 for all entities.
3. Complete sub-section 3 if entity is a not-for-profit corporation.
4. Confirm accuracy of information in sub-sections 1, 2 and 3 (as a best practice) and document you did this in sub-section 4.
5. If you cannot obtain information in sub-sections 1, 2 or confirm its accuracy, complete sub-section 5.

## 1. Record Keeping - General

**Only complete the subsection that applies. Add additional pages if necessary.**

### A. For corporate entities:

**Names of all directors of corporation:** YI JIANG and SIQIONG LI

**Name and addresses of all persons who own directly or indirectly 25% or more of shares of corporation:**

YI JIANG and SIQIONG LI

2810-220 Burnhamthorpe Rd W Mississauga Ontario L5B 4N4

### B. For trust entities:

**Name and addresses of all trustees, known beneficiaries and settlors of trust:**

### C. For widely held or publicly held trust entities:

**Name of all trustees:**

**Name and addresses of all persons who directly or indirectly own 25% or more of the units of the trust:**

### D. For entities other than corporations or trusts:

**Name and addresses of all persons who own directly or indirectly 25% or more of entity:**

# Beneficial Ownership Record

## 2. Record Keeping – Ownership, Structure and control

**For all entities, provide information explaining entity's ownership, control and structure. If the information is complex, you may wish to attach a diagram that shows the ownership, control and structure:**. . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . .

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## 3. Record Keeping – Not-for-profit

**If the entity is a not-for-profit organization:**

Is entity a charity registered with the Canada Revenue Agency under the Income Tax Act?
☐ Yes ☐ No

If entity is not a charity, does entity solicit charitable donations from the public?
☐ Yes ☐ No

## 4. Confirm Accuracy of Information in Sections 1, 2 and (as a best practice) Section 3

**Tick applicable boxes to document the measures you took to confirm the accuracy of information in sub-sections 1-3:**

☑ Asked entity for information and they provided (check all that apply):

- ☐ Minute book
- ☐ Shareholders register
- ☐ Annual returns
- ☐ Shareholder agreement
- ☐ Board of director's meeting records of decisions
- ☐ Trust deed
- ☐ Securities register
- ☑ Articles of incorporation
- ☐ Certificate of corporate status
- ☐ Partnership agreement
- ☐ Other, explain . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . .

☐ Checked CRA list of charities (if sub-section 3 applies)
☐ Internet search
☑ Entity provided signed letter confirming the veracity of the information in Sections 1 and 2
☐ Other, explain: . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . .

(optional) Date(s) above measures taken: . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . .

## 5. Complete this sub-section if you cannot obtain information in sub-sections 1, 2 or confirm its accuracy in sub-section 4.

**Tick applicable boxes for each task taken.**

☐ Took reasonable measures to verify the identity of the entity's chief executive officer or the person who performs that function.
☐ Applied special measures for high-risk-clients.

Agents should speak to the Compliance Officer for direction if step 5 is necessary.